



**Folkestone**  
MAXIM ASSET MANAGEMENT

## FOLKESTONE MAXIM A-REIT SECURITIES FUND

ARSN 116 193 563

### APPLICATION FORM

18 June 2015

This Application Form accompanies the Product Disclosure Statement dated 11 June 2014 (**PDS**) issued by One Managed Investment Funds Limited ABN 47 117 400 987 (**Responsible Entity**) in its capacity as responsible entity of the Folkestone Maxim A-REIT Securities Fund ARSN 116 193 563 (**Fund**).

It is important that you read the PDS in full and the acknowledgements contained in this Application Form before applying for Units.

Unless otherwise defined, capitalised terms used in this Application Form have the same meaning given to them in the PDS.

Units in the Fund are only issued on receipt of:

- this fully completed application form;
- verification of the applicant's identity and any beneficial owner's identity; and
- payment in full in cleared funds.

The minimum initial investment in the Fund is \$5,000 and the minimum additional investment is \$100. If you are an existing investor and wish to make an additional investment, please complete the Additional Investment Form located at the back of this document (page 18).

#### If investing via a Financial Adviser

Please ensure both you and your financial adviser also complete 'Section 10. Financial Adviser Details and Customer Identification Declaration'. You do not need to provide copies of your certified identification documentation with your Application Form if this information has been provided to your financial adviser, your financial adviser has elected to retain this information, and agreed to make it available upon request, under Section 10 of this Application Form.

Please tick one box below and complete the relevant Sections of the Application Form.

Investor Type	Complete
<input type="checkbox"/> Individual/Joint Investors/Sole Traders	Sections 1, 2, 5, 6, 7, 8, 9 and 10
<input type="checkbox"/> Company	Sections 1, 3, 5, 6, 7, 8, 9 and 10
<input type="checkbox"/> Trust/Superannuation Fund with Individual Trustee	Sections 1, 2, 4, 5, 6, 7, 8, 9 and 10
<input type="checkbox"/> Trust/Superannuation Fund with Corporate Trustee	Sections 1, 3, 4, 5, 6, 7, 8, 9 and 10

#### Post

Please post completed Application Forms and all supporting documentation to:

Folkestone Maxim A-REIT Securities Fund  
c/- FundBPO Pty Ltd  
GPO Box 4968  
Sydney NSW 2001

#### Questions

If none of the above categories are applicable to you, or you have other questions relating to this Application Form, please contact the registrar, FundBPO Pty Ltd on 1300 133 451.

# 1. INVESTMENT DETAILS

## 1.1 DETAILS

I/We apply to invest in the Folkestone Maxim A-REIT Securities Fund.

Please tick the box beside your chosen payment method and complete the required details.

**(The minimum initial investment is \$5,000)**

**Cheque**  
 Made payable to: Folkestone Maxim A-REIT Securities Fund  
 Amount: AUD

**Electronic Funds Transfer or Direct Deposit**  
 Bank: National Australia Bank  
 Account Name: Folkestone Maxim A-REIT Securities Fund – Application A/c  
 BSB: 082 036  
 Account number: 87 453 9955  
 Reference: "Investor surname/company or trust name" (as applicable)  
 Amount: AUD

Date of Transfer

Reference: "Investor surname/company or trust name" (as applicable)

### Source of Investment Funds

Please identify the source of your investable assets or wealth:

Gainful employment                       Inheritance/gift                       Business activity

Superannuation savings                       Financial Investments

Other – please specify

### What is the purpose of this investment?

Savings     Growth     Income

Retirement     Business account

Other – please specify

## 1.2 WHOLESALE CLIENT

I/we acknowledge that one of the following circumstances apply to me/us (please indicate):

- (a) I am/we are applying for units at a price, or for the value of at least \$500,000 under this Application Form
- (b) I have/we have net assets of at least \$2.5 million, and I am/we are applying for Units in the Fund for a purpose other than for use in connection with a business
- (c) I have/we have a gross income for each of the last two financial years of at least \$250,000 per year, and I am/we are applying for Units in the Fund for a purpose other than for use in connection with a business
- (d) I am/we are a 'professional investor' as defined in the Corporations Act\*

If (b) or (c) applies, please ensure you have the Accountant's Certificate (see page 17) completed.

\*If you consider yourself a 'professional investor' please contact the Responsible Entity on the phone number provided in order to complete the appropriate forms.

## 2. INDIVIDUAL/JOINT INVESTORS/SOLE TRADERS/INDIVIDUAL TRUSTEES – APPLICATION FORM

Complete this section if you are investing in your own name, including as a sole trader.

### 2.1 INVESTOR DETAILS

#### INVESTOR 1

Title				Date of Birth (dd/mm/yyyy)	
Given Names				Surname	
Tax File Number or Exemption Code				Country of Residence for Tax Purposes (if not Australia)	
Residential Address (not a PO Box)					
Suburb	State	Postcode	Country		
Mobile Number				Telephone	

Email address – please provide your email address in Section 5 (we encourage you to provide your email address so that you can receive a copy of all relevant correspondence).

#### INVESTOR 2 (only applicable for joint investors)

Title				Date of Birth (dd/mm/yyyy)	
Given Names				Surname	
Tax File Number or Exemption Code				Country of Residence for Tax Purposes (if not Australia)	
Residential Address (not a PO Box)					
Suburb	State	Postcode	Country		
Mobile Number				Telephone	

If there are more than two individuals please provide details and attach to this Application Form.

#### Politically Exposed Person (PEP)

Is any Investor a PEP? Please refer to Page 14 if you are unsure.

Yes, please provide description of PEP's position.

No

**ADDITIONAL INFORMATION FOR SOLE TRADERS** (only applicable if applying as a Sole Trader)

Full Business Name (if any)

Australian Business Number (if obtained)

Address of Principal Place of Business (not a PO Box). If same as residential address given above, mark 'As Above'.

Suburb

State

Postcode

Country

**2.2 IDENTIFICATION DOCUMENTS**

To comply with Australia’s Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) legislation, we must collect certain information from prospective investors and their beneficial owners supported by ORIGINAL CERTIFIED COPIES of relevant identification documents for all investors and their beneficial owners.

Please refer to page 14 for details of how to arrange certified copies. Please provide all documents in the proper format otherwise we may not be able to process your application for investment.

For each investor and beneficial owner, enclose an **ORIGINAL CERTIFIED COPY** of one of the following current documents.

Driver’s licence that contains a photograph of the licence/permit holder; or

Passport that contains a photograph and signature of the passport holder.

If you do not have one of the above options, please contact the registrar for assistance.

**3. COMPANY/CORPORATE TRUSTEE – APPLICATION FORM**

Complete this section if you are investing for, or on behalf of, a company.

**3.1 COMPANY DETAILS**

Full Company Name

Country of Formation, Incorporation or Registration

Country of Residence for Tax Purposes (if not Australia)

ARBN (if registered with ASIC)

ACN/ABN (if registered in Australia)

Tax File Number or Exemption Code (Australian residents)

AFS Licence Number (if applicable)

Name of Regulator (if licensed by an Australian Commonwealth, State or Territory statutory regulator)

Name of Two Directors and Date of Birth:

Director 1 – Full Name

Date of Birth (dd/mm/yyyy)

Director 2 – Full Name

Date of Birth (dd/mm/yyyy)

Registered Business Address in Australia or in Country of Formation

Suburb

State

Postcode

Country

Principal Place of Business (not a PO Box address)

Suburb

State

Postcode

Country

If an **Australian Company**, registration status with ASIC.

Proprietary Company  Public Company

If a **Foreign Company**, registration status with the relevant foreign registration body.

Private/Proprietary Company  Public Company  Other – Please Specify

Name of Relevant Foreign Registration Body

Foreign Company Identification Number

**Is the Company Listed?**

No  Yes – Name of Market/Stock Exchange

Is the company a majority-owned subsidiary of an Australian listed company?

No  Yes – Name of Australian Listed Company

– Name of Market/Stock Exchange

If the company is **registered as a proprietary company by ASIC** or a **foreign private company which is regulated by a Government entity in the relevant country**, please list the name of each director of the company.

Director 1 – Full Name

Director 4 – Full Name

Director 2 – Full Name

Director 5 – Full Name

Director 3 – Full Name

Director 6 – Full Name

*If there are more than six directors please provide their full names on a separate page and attach to this Application Form.*

**Politically Exposed Person (PEP)**

Is any company director a PEP? Please refer to Page 14 if you are unsure.

Yes, please provide description of PEP's position.

No

If the company is an **Australian proprietary company** or a **foreign private company which is NOT regulated by a Government entity in the relevant country**, please provide details for each Beneficial Owner having more than 25 per cent of the company's issued share capital. Please refer to Page 14 if you are unsure as to what Beneficial Owner means.

**Beneficial Owner 1**

\*Full Name  Date of Birth (dd/mm/yyyy)

Residential Address (not a PO Box address)

Suburb  State  Postcode  Country

**Beneficial Owner 2**

\*Full Name  Date of Birth (dd/mm/yyyy)

Residential Address (not a PO Box address)

Suburb  State  Postcode  Country

### Beneficial Owner 3

\*Full Name

Date of Birth (dd/mm/yyyy)

Residential Address (not a PO Box address)

Suburb

State

Postcode

Country

\*If there are more than three beneficial owners, please provide additional beneficial owner details on a separate piece of paper and include it with this Application Form.

### Politically Exposed Person (PEP)

Is any Beneficial Owner a PEP? Please refer to Page 14 if you are unsure what PEP means.

Yes, please provide description of PEP's position.

No

### 3.2 CONTACT PERSON DETAILS (*Financial Adviser details not accepted*)

All investor communications will be emailed to the email address provided in Section 5.

Given Names

Surname

Postal Address

Suburb

State

Postcode

Country

Mobile Number

Telephone

### 3.3 IDENTIFICATION DOCUMENTS

To comply with AML/CTF legislation, we must collect certain identification documents from prospective investors and their beneficial owners supported by ORIGINAL CERTIFIED COPIES of relevant identification documents for all investors and their beneficial owners.

Please refer to Page 14 for details of how to arrange for certified copies. Please provide all documents in the proper format otherwise we may not be able to process your application for investment.

Provide an **ORIGINAL CERTIFIED COPY** of one of the following documents.

A certificate of registration or incorporation issued by ASIC or the relevant foreign registration body (must show full name of company, name of registration body, company identification number and type of company – private or public); or

The most recent Company Statement issued by ASIC; and

Provide an **ORIGINAL CERTIFIED COPY** of a photographic identification document for each Officeholder who has signed the Application Form and Beneficial Owners identified in Section 3.1.

Driver's licence that contains a photograph of the licence/permit holder; or

Passport that contains a photograph and signature of the passport holder.

If you do not have one of the above options, please contact the registrar for assistance.

## 4. TRUST/SUPERANNUATION FUND

Complete this section if you are investing for, or on behalf of, a Trust/Superannuation Fund.

### 4.1 TRUST/FUND DETAILS

Full Name of Trust/Superannuation Fund

Country of Establishment

Country of Residence for Tax Purposes

Tax File Number or Exemption Code

Australian Business Number (if any)

#### TYPE OF TRUST

(Please tick **ONE** box from the list below to indicate the type of Trust and provide the required information.)

**Type A:**  Regulated Trust (e.g. self-managed superannuation fund)

Name of regulator (e.g. ASIC, APRA, ATO)

Registration/Licensing details

**Type B:**  Government Superannuation Fund

Name of the legislation establishing the fund

**Type C:**  Foreign Superannuation Fund

Name of Regulator

Registration/Licensing Details

**Type D:**  Other Type of Trust/Unregulated Trust

Trust Description (e.g. family, unit, charitable)

The material asset contribution to the trust by the settlor at the time the trust was established was less than \$10,000.00.

The settlor of the trust is deceased.

Neither of the above is correct:  
Provide the full name of the settlor of the trust.

### 4.2 BENEFICIARY DETAILS (only applicable for Type C and Type D Trusts)

Do the terms of the Trust identify the beneficiaries by reference to a membership of a class?

**Yes** – Describe the class of beneficiaries below (e.g. unit holders, family members of named person, charitable purposes)

**No** – Provide the full names of all company and individual beneficiaries

#### Beneficiary 1

\*Full Name

Date of Birth (dd/mm/yyyy)

Residential Address (not a PO Box address)

Suburb

State

Postcode

Country

## Beneficiary 2

\*Full Name

Date of Birth (dd/mm/yyyy)

Residential Address (not a PO Box address)

Suburb

State

Postcode

Country

## Beneficiary 3

\*Full Name

Date of Birth (dd/mm/yyyy)

Residential Address (not a PO Box address)

Suburb

State

Postcode

Country

\*If there are more than three beneficiaries, please provide additional beneficiary details on a separate piece of paper and include it with this application form.

## Politically Exposed Person (PEP)

Is any Beneficiary a PEP? Please refer to Page 14 if you are unsure what PEP means.

Yes, please provide description of PEP's position.

No

## 4.3 TRUSTEE DETAILS

If a trustee is an individual, please complete Section 2. If a trustee is a company, please complete Section 3.

## 4.4 IDENTIFICATION DOCUMENTS

To comply with AML/CTF legislation, we must collect certain information from prospective investors and their beneficiaries supported by ORIGINAL CERTIFIED COPIES of relevant identification documents for all investors and their beneficiaries.

Please refer to page 14 for details of how to arrange certified copies. Please provide all documents in the proper format otherwise we may not be able to process your application for investment.

### Superannuation Funds/Trusts

Provide an ORIGINAL CERTIFIED COPY of one of the following documents.

Certified copy or certified extract of the Trust Deed containing the cover page, recitals and signature page; or

Reliable and independent documents relating to the trust.

**AND** relevant identification documents for the trustee as specified in Section 2 or 3 (as applicable).

## 5. COMMUNICATION CONSENT

Please tick the appropriate box regarding communications relating to your investment in the Fund.

I/We would like to receive my/our periodic statements via post.

I/We consent to receiving my/our periodic statements via email.

*If no email address is provided all investment communications relating to your investment will be posted to you.*

My/our email details are as follows:

Email Address

Email Address



## 6. INSTRUCTIONS

### 6.1 PAYMENT INSTRUCTIONS – DISTRIBUTIONS AND WITHDRAWALS

Please indicate how you would like your distributions to be paid by ticking one box only:

- Reinvest in additional units in the "Folkestone Maxim A-REIT Securities Fund"; or  
 Paid to my/our account (Please provide your financial institution account details as per below).

#### Financial Institution Account Details (must be an Australian financial institution)

Please provide account details for the credit of withdrawals and credit of distributions. Unless requested otherwise, this will be the bank account we credit any withdrawal proceeds. By providing your nominated account details in this section you authorise the Responsible Entity to use these details for all future transaction requests that you make until written notice is provided otherwise.

Bank/Institution	Branch
<input type="text"/>	<input type="text"/>
Account Name	
<input type="text"/>	
BSB	Account Number
<input type="text"/>	<input type="text"/>

*The name of your nominated bank account must be the same as the Investor's name. If you do not nominate a bank account your distribution will be reinvested. There may be periods in which no distribution is payable or we may make interim distributions. We do not guarantee any particular level of distribution.*

### 6.2 OPERATING AUTHORITY

When giving instructions to us about your investment please indicate who has authority to operate your account:

**INDIVIDUAL/JOINT ACCOUNTS** (if no box is ticked we will assume all to sign)

- Any one to sign     Both to sign     Other

**COMPANY, TRUST OR SUPERANNUATION FUND ACCOUNTS** (if no box is ticked all future written instructions must be signed by two directors/trustees, director and secretary, or the sole director)

- Any one to sign     Any two to sign     All to sign     Other

## 7. FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA)

### 7.1 INDIVIDUALS

Are you a US citizen or resident for tax purposes?

- Yes    If yes, provide your US Taxpayer Identification Number (TIN):   
 No

**Go to Section 8**

### 7.2 US ENTITIES – US TRUST, US COMPANY OR US PARTNERSHIP

Provide the US Taxpayer Identification Number (TIN):

Confirm whether the trust, company or partnership is an exempt payee for US tax purposes?  Yes     No

**Go to Section 8**

### 7.3 NON US ENTITIES

(Please tick **ONE** box from the list below)

**Regulated super fund** (self-managed superannuation fund, APRA regulated super fund, government super fund or pooled superannuation trust) – **Go to Section 8**

**Government entity** – **Go to Section 8**

**Registered co-operation** – **Go to Section 8**

**Association** – **Go to Section 8**

**Non-financial public company** (public companies that are not financial institutions) – **Go to Section 8**

**Financial institution or trust with a trustee that is a financial institution**

Provide the Global Intermediary Identification Number (GIIN), if applicable:

If no GIIN is available, provide FATCA status:

**Go to Section 8**

**Non-financial propriety company** – **Go to Section 7.4**

**Partnership** – **Go to Section 7.4**

**Trust (including testamentary trust)** – **Go to Section 7.4**

**Charity** – **Go to Section 7.4**

### 7.4 US CITIZENS OR RESIDENTS OF THE US FOR TAX PURPOSES

Are any of the partners, trust beneficiaries, trustees, beneficial owners of corporate trustees, settlors or beneficial owners US citizens or residents of the US for tax purposes?

Yes **Go to Section 7.5**

No **Go to Section 8**

### 7.5 US CITIZENS OR RESIDENTS OF THE US FOR TAX PURPOSES

#### MUST COMPLETE

Complete for each partner, beneficiary, trustee, beneficial owner of corporate trustees, settlor or beneficial owner. If required, provide additional details on a separate sheet.

#### US Person 1

Given Names

Surname

US TIN

Residential Address (not a PO Box address)

Suburb

State

Postcode

Country

**US Person 2**

Given Names

Surname

US TIN

Residential Address (not a PO Box address)

Suburb

State

Postcode

Country

**US Person 3**

Given Names

Surname

US TIN

Residential Address (not a PO Box address)

Suburb

State

Postcode

Country

**8. PRIVACY**

If you do not tick the box below, your personal information may be used and disclosed for marketing purposes as broadly described in the Privacy statement in this PDS.

I/we do not wish to receive information regarding future investment opportunities.

You may change your election at any time by contacting the Responsible Entity.

## 9. INVESTOR DECLARATION AND SIGNATURES

### When you complete this Application Form you make the following declarations:

- I/we have read and understood the PDS to which this Application Form applies, including any supplemental information;
- I/we have received and accepted the offer to invest in Australia;
- The information provided in my/our Application Form is true, correct and complete in all respects;
- I/we agree to be bound by the provisions of the Constitution governing the Fund and the terms and conditions of the PDS, each as amended from time to time;
- I/we acknowledge that none of the Responsible Entity, their related entities, directors or officers has guaranteed or made any representation as to the performance or success of the Fund, or the repayment of capital from the Fund. Investments in the Fund are subject to various risks, including delays in repayment and loss of income or principal invested. Investments in the Fund are not deposits with or other liabilities of the Responsible Entity or any of its related bodies corporate or associates;
- I/we acknowledge the Responsible Entity reserves the right to reject any application or scale back an application in its absolute discretion;
- If applicable, after assessing any of my/our circumstances, I/we have obtained my/our own independent financial advice prior to investing in the Fund;
- If this Application Form is signed under Power of Attorney, each Attorney declares he/she has not received notice of revocation of that power (a certified copy of the Power of Attorney should be submitted with this Application Form);
- I am/we are over 18 years of age and I/we are eligible to hold units/investment in the Fund;
- I/we have all requisite power and authority to execute and perform the obligations under the PDS and this Application Form;
- I/we acknowledge that application monies will be held in a trust account until invested in the Fund or returned to me/us. Interest will not be paid to applicants in respect of their application monies regardless of whether their monies are returned;
- I/we have read the information on the Responsible Entity's Privacy Policy on the Responsible Entity's website ([www.oneinvestment.com.au](http://www.oneinvestment.com.au)) and I/we consent to my/our personal information being used and disclosed as required under the Privacy Act 1998 (Cth) and any other applicable law unless I/we have instructed the Responsible Entity in writing to do otherwise;
- I/we acknowledge that the Responsible Entity may deliver and make reports, statements and other communications available in electronic form, such as e-mail or by posting on a website;
- I/we indemnify the Responsible Entity and each of its related bodies corporate, directors and other officers, shareholders, servants, employees, agents and permitted delegates (together, the **Indemnified Parties**) and to hold each of them harmless from and against any loss, damage, liability, cost or expense, including reasonable legal fees (collectively, a **Loss**) due to or arising out of a breach of representation, warranty, covenant or agreement by me/us contained in any document provided by me/us to the Responsible Entity, its agents or other parties in connection with my/our investment in the Fund. The indemnification obligations provided herein survive the execution and delivery of this Application Form, any investigation at any time made by the Responsible Entity and the issue and/or sale of the investment;
- To the extent permitted by law, I/we release each of the Indemnified Parties from all claims, actions, suits or demands whatsoever and howsoever arising that I/we may have against any Indemnified Party in connection with the PDS or my/ our investment;
- Other than as disclosed in this Application Form, no person or entity controlling, owning or otherwise holding an interest in me/us is a United States citizen or resident of the United States for taxation purposes (**US Person**);
- I/we will promptly notify the Responsible Entity of any change to the information I/we have previously provided to the Responsible Entity, including any changes which result in a person or entity controlling, owning or otherwise holding an interest in me/us who is a US Person;
- I/we consent to the Responsible Entity disclosing any information it has in compliance with its obligations under the Inter-Governmental Agreement between the Government of Australia and the Government of the United States of America to improve International Tax Compliance and to implement FATCA and any related Australian law and guidance implementing the same (together, the **IGA**). This may include disclosing information to the Australian Taxation Office, who may in turn report that information to the US IRS;
- I/we acknowledge that the collection of my/our personal information may be required by the Privacy Act 1998 (Cth) Financial Transaction Reports Act 1988, the Corporations Act 2001, the Income Tax Assessment Act 1936, the Income Tax Assessment Act 1997, the Taxation Administration Act 1953, the IGA (which for these purposes includes any related Australian law and guidance) and the Anti-Money Laundering and Counter-Terrorism Financing Act 2006. Otherwise, the collection of information is not required by law, but I/we acknowledge that if I/we do not provide personal information and identification documents (including information in relation to source of funding and purpose, the Responsible Entity may not allow me/us to invest in the Fund;
- I am/we are not aware and have no reason to suspect that the monies used to fund my/our investment in the Fund have been or will be derived from or related to any money laundering, terrorism financing or similar or other activities illegal under applicable laws or regulations or otherwise prohibited under any international convention or agreement (**AML/CTF Law**);

- I/we will provide the Responsible Entity with all additional information and assistance that the Responsible Entity may request in order for the Responsible Entity to comply with its ongoing customer due diligence as required by AML/CTF Law and the IGA;
- I/we acknowledge that I/we are entitled to access, correct and update all personal information which the Responsible Entity holds about me/us. This information held may be obtained by contacting the Responsible Entity; and
- I/we acknowledge that the Responsible Entity may decide to delay or refuse any request or transaction, including by suspending the issue or redemption of investment in the Fund, if the Responsible Entity is concerned that the request or transaction may breach any obligation of, or cause the Responsible Entity to commit or participate in an offence, including under any AML/CTF Law where transactions are delayed or refused. I understand that the Responsible Entity is not liable for any loss I/we suffer (including consequential loss) as a result of the Responsible Entity's compliance with the AML/CTF Law.

Signature 1\*

Full Name

Date

Tick capacity (mandatory for companies):

- Sole Director and Company Secretary
- Director
- Secretary

Company Seal (if applicable)

Signature 2\*

Full Name

Date

Tick capacity (mandatory for companies):

- Sole Director and Company Secretary
- Director
- Secretary

\*Joint applicants must both sign;

\*Company applications must be signed by two Directors, a Director and Secretary or the Sole Director and Secretary of the company, details of which appear in Section 3.1; or

\*For trust/superannuation fund applications each individual trustee must sign.

Post your original signed Application Form and original certified copies of your identification document(s) to:

Folkestone Maxim A-REIT Securities Fund  
 C/- FundBPO Pty Ltd  
 GPO Box 4968  
 Sydney NSW 2001

Please ensure that you have transferred your application monies or enclose a cheque for payment.

## CERTIFYING A COPY OF AN ORIGINAL DOCUMENT

All documents must be provided in a certified copy format – in other words, a copy of the original document that has been certified by an eligible certifier.

A 'certified extract' means an extract that has been certified as a true copy of some of the information contained in a complete original document by one of the persons described below.

Please note that we require the copy which was actually signed by the certifier (i.e. the original penned signature of the certifier).

### People who can certify documents or extracts are:

1. A lawyer, being a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described).
2. A judge of a court.
3. A magistrate.
4. A chief executive officer of a Commonwealth court.
5. A registrar or deputy registrar of a court.
6. A Justice of the Peace.
7. A notary public (for the purposes of the *Statutory Declaration Regulations 1993*).
8. A police officer.
9. An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public.
10. A permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public.
11. An Australian consular officer or an Australian diplomatic officer (within the meaning of the *Consular Fees Act 1955*).
12. An officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the *Statutory Declaration Regulations 1993*).
13. A finance company officer with 2 or more continuous years of service with one or more financial companies (for the purposes of the *Statutory Declaration Regulations 1993*).
14. An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees.
15. A member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership.

## POLITICALLY EXPOSED PERSONS (PEP)

To comply with AML/CTF laws, we require you to disclose whether you are, or have an association with, a Politically Exposed Person (PEP). A PEP is an individual who holds a prominent public position or function in a government body or an international organisation in Australia or overseas, such as a Head of State, or Head of a Country or Government, or a Government Minister, or equivalent senior politician. A PEP can also be an immediate family member of a person referred to above, including spouse, de facto partner, child, and a child's spouse or a parent. A close associate of a PEP, i.e. any individual who is known to have joint beneficial ownership of a legal arrangement or entity is also considered to be a PEP. Where you identify as, or have an association with, a PEP, we may request additional information from you.

## BENEFICIAL OWNER

To comply with AML/CTF laws, we require you to disclose beneficial owners. Beneficial owner means an individual who ultimately owns or controls (directly or indirectly) the investor. 'Control' includes control as a result of, or by means of, trusts, agreements, arrangements, understandings and practices, whether or not having legal or equitable force and whether or not based on legal or equitable rights, and includes exercising and control through the capacity to determine decisions about financial and operating policies. 'Owns' means ownership (either directly or indirectly) of 25% or more of the investor.

## 10. FINANCIAL ADVISER DETAILS AND CUSTOMER IDENTIFICATION DECLARATION

### Customer Identification Declaration (*Financial Adviser to complete*)

I confirm that I have completed an appropriate Customer Identification Procedure (**CID**) on this investor and/or the beneficial owners which meets the requirements of the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (**AML/CTF Act**).

Please select the relevant option below:

- I have attached the verification documents that were used to perform the CID for this investor and/or the beneficial owners;  
OR
- I have not attached the verification documents but will retain them in accordance with the AML/CTF Act and agree to provide them to the Responsible Entity or its agents with access to these documents upon request. I also agree that if I become unable to retain the verification documents used for this application in accordance with the requirements of the AML/CTF Act I will forward them to the Responsible Entity.

I agree to provide the Responsible Entity or its agents with any other information that they may require to support this Application.

Financial Adviser Name (if a new adviser, please attach a copy of your employee/representative authority)

Business Name

Adviser Number (if applicable)

Street Address

Suburb

State

Postcode

Country

Postal Address

Suburb

State

Postcode

Country

Office Telephone

Mobile Number

Fax Number

Email

**DEALER DETAILS**

Dealer Name

Dealer Number (if applicable)

Contact Person

AFSL Number

ABN

Postal Address

Suburb

State

Postcode

Country

Office Telephone

Fax Number

Email

Dealer Stamp

Signature of Financial Adviser

Date

**Financial Adviser Access to Investor Information *(Investor to complete)***

Please tick the box below if you wish your financial adviser to have access to information and/or to receive copies of all transaction confirmations. If no election is made, access to information and/or copies of transaction confirmations will not be provided to your financial adviser.

Please provide access to information and send copies of all transaction confirmations to my/our financial adviser.

You may change your election at any time by contacting the Responsible Entity.



The following form is for the use of Australian Applicants only who are investing less than AUD \$500,000.

**ACCOUNTANT'S CERTIFICATE THAT CLIENT IS WHOLESALE UNDER SECTION 761G(7) OF THE CORPORATIONS ACT**

To: Folkestone Maxim A-REIT Securities Fund  
c/- FundBPO Pty Ltd  
GPO Box 4968  
Sydney NSW 2001

I,

of

Certify as follows:

1. I am a qualified accountant for the purposes of the Corporations Act, being a member of the Institute of Chartered Accountants in Australia/Australian Society of Certified Practising Accountants/National Institute of Accountants and am subject to, and comply with, that body's continuing education requirements.

2. I am giving this certificate in accordance with section 761G(7)(c) of the Corporations Act at the request of, and with reference to,

(Investor) and acknowledge that this certificate will be relied upon to make offers of financial products to the Investor without disclosure under Part 7.9 of the Corporations Act.

3. I certify that, having reviewed the financial position of the Investor:

(a) the Investor has net assets of at least A\$2.5 million; or

(b) the Investor had a gross income for each of the last two financial years of at least A\$250,000 a year.

Signature

Print name

Dated

**Notes**

The certificate should be:

1. Provided before any offer is made; and
2. Given no earlier than two years before the offer is made.

# ADDITIONAL INVESTMENT FORM

## FOLKESTONE MAXIM A-REIT SECURITIES FUND

ARSN 116 193 563

18 June 2015

### Additional Investment Form For Existing Investors

Please use this form if you are already an investor in the Folkestone Maxim A-REIT Securities Fund and wish to make an additional investment. New investors should go to page 2 of the Application Form.

#### INVESTOR DETAILS

Investor Number

Investor Name

Company/Fund/Super Fund Name

#### ADDITIONAL INVESTMENT DETAILS

Please tick the box beside your chosen payment method and complete the required details.

##### Cheque

Made payable to: Folkestone Maxim A-REIT Securities Fund

Amount: AUD

##### Electronic Funds Transfer or Direct Deposit

Bank: National Australia Bank

Account Name: Folkestone Maxim A-REIT Securities Fund – Application A/c

BSB: 082 036

Account number: 87 453 9955

Reference: "Investor surname/company or trust name" (as applicable):

Amount: AUD

Date of Transfer

Reference Used

(The minimum additional investment is \$100)

#### INVESTOR CONFIRMATION

Signature 1\*

Full Name

Date

Tick capacity (mandatory for companies):

Sole Director and Company Secretary

Director

Secretary

Company Seal (if applicable)

Signature 2\*

Full Name

Date

Tick capacity (mandatory for companies):

Sole Director and Company Secretary

Director

Secretary

\*Joint applicants must both sign;

\*Company applications must be signed by two Directors, a Director and Secretary or the Sole Director and Secretary of the company; or

\*For trust/superannuation fund applications each individual trustee must sign.